

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

Mulle

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

To Be Assigned 09/890696

INTERNATIONAL APPLICATION NO.

PCT/DE00/00357

INTERNATIONAL FILING DATE

7 February 2000

PRIORITY DATE CLAIMED

9 February 1999

## TITLE OF INVENTION

Microemulsion Containing Alkanolammonium Salts of Fatty Alcohol Sulfates and/or  
Alkylpolyalkyleneglycol ethersulfates [as amended]

## APPLICANT(S) FOR DO/EO/US

Michael Brock, Martin Stolz, Sabine Diesveld-Koller, Eva-Maria Koberstein, Ursula Michel, and Heinz Napierala

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Acknowledgment postcard

24. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . . . .	<b>\$1000.00</b>
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . . .	<b>\$860.00</b>
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . .	<b>\$710.00</b>
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . .	<b>\$690.00</b>
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . .	<b>\$100.00</b>

**ENTER APPROPRIATE BASIC FEE AMOUNT =** **\$860.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). **\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	11 - 20 =	0	x \$18.00	<b>\$0.00</b>
Independent claims	1 - 3 =	0	x \$80.00	<b>\$0.00</b>
Multiple Dependent Claims (check if applicable). <input checked="" type="checkbox"/>				<b>\$270.00</b>
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,130.00</b>
<input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				<b>\$0.00</b>
<b>SUBTOTAL =</b>				<b>\$1,130.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				<b>\$0.00</b>
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,130.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,130.00</b>
				Amount to be: refunded \$
				charged \$

- a. ☒ A check in the amount of **\$1,130.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **02-4345**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**C. James Bushman, Reg. No. 24,810**  
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SIGNATURE

**C. James Bushman**

NAME

**24,810**

REGISTRATION NUMBER

**August 3, 2001**

DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
ACTING AS RECEIVING OFFICE FOR THE PCT

In re Application of:	§	Attorney Docket No.: Muller-27
Michael Brock, Martin Stolz,	§	
Sabine Diesveld-Koller,	§	
Eva-Maria Koberstein, Ursula Michel,	§	
and Heinz Napierala	§	
Int'l. Appln. No.: PCT/DE00/00357	§	U.S. Serial No.: 09/890,696
Int'l. Filing Date: 07 February 2000	§	U.S. Filing Date: 03 August 2001
Priority Date: 08 February 1999	§	Art Unit No.: To Be Assigned
For: <i>Microemulsion Containing Alkanol</i>	§	Examiner: To Be Assigned
<i>Ammonium Salts of Fatty Alcohol</i>	§	
<i>Sulfates and/or Alkylpolyalkylene-</i>	§	
<i>glycolethersulfates</i>	§	

TRANSMITTAL LETTER

Box PCT  
Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 20231

12/31/2001 LLANDGRA 00000020 09890696

01 FC:154  
02 FC:115

130.00 OP  
110.00 OP

Attn.: DO/EO/US

Sir:

Enclosed for filing in the above-identified application are the following:

1. Response to Notification of Missing Requirements Under 35 U.S.C. §371 in the United States Designated/Elected Office (DO/EO/US) (1 page);
2. Copy of Notification of Missing Requirements (1 page);
3. Declaration and Power of Attorney (4 pages);
4. Petition for Extension of Time (1 page);
5. Check in the amount of \$240 for the combined Declaration surcharge of \$130 and the Petition for Extension of Time fee of \$110;
6. Acknowledgment postcard; and
7. Duplicate copies of this transmittal letter for the Assistance Commissioner's use in crediting overpayment or charging any additional fees due to Deposit Account No. 02-4345.

Respectfully submitted,

C. James Bushman  
Reg. No. 24,810

Date: 12/14/87  
 Browning Bushman P.C.  
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# CERTIFICATE OF EXPRESS MAILING

I, C. James Bushman, hereby certify that this correspondence and all referenced enclosures are being deposited by me with the United States Postal Service as Express Mail with Receipt No. EL715550286US in an envelope addressed to: Box PCT, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington D.C. 20231, on December 14, 2008.

By: \_\_\_\_\_

09/890696

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Michael Brock, et al.

Docket No.

Muller-27

Serial No.  
To Be AssignedFiling Date  
HerewithExaminer  
To Be AssignedGroup Art Unit  
To Be Assigned

Invention: Microemulsion Containing Alkanolammonium Salts of Fatty Alcohol Sulfates and/or Alkylpolyalkyleneglycoether Sulfates [as amended]

I hereby certify that the following correspondence:

Transmittal Letter to the DO/EO/US concerning a Filing Under 35 USC 371 and all referenced enclosures

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 8, 2001

(Date)

Jan C. Lipscomb

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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